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Subgroup for 449A/PTO

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Complete if Known

Application Number	10/084,106
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Filing Date	2/27/2002
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First Named Inventor	Unger
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Art Unit

Examiner Name

Attorney Docket Number SNY-R4976

Sheet

1

of

1

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14-00000

/Annan Shang/

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INFORMATION DISCLOSURE STATEMENT	Application No.: 10/084,106	Sheet 1 of 2
	Docket No.: SNY-R4976	Group: 2623
	Filed: 02/27/2002	Conf. No.: 6776
	Applicant: Unger	

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The References below are commonly owned by the assignees of the present application and are submitted to provide the examiner with an up-to-date comprehensive listing of all known related U.S. Patents and patent applications.

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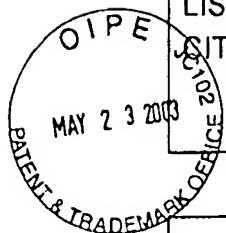
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Exam. Init.	Document Number	Date	Country	Class	Translation
OTHER DOCUMENTS / CITATIONS					
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LIST OF REFERENCES CITED BY APPLICANT(S)	Application No.: 10/084,106	Sheet 1 of 1
	Docket No.: SNY-R4976	Group: 2611
	Filed: 2/27/2002	Conf. No.: 6776
	Applicant: UNGER	

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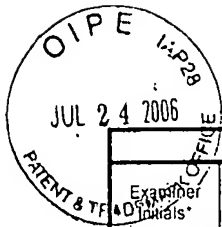
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Application Number	10/084,106	
			Filing Date	2/27/2002	
			First Named Inventor	Unger	
			Art Unit	2623	
			Examiner Name	Kelly, Christopher	
Sheet	1	of	1	Attorney Docket Number	SNY-R4976

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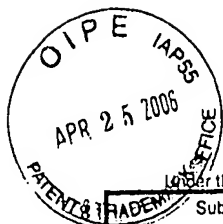
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INFORMATION DISCLOSURE CREATION MAY 07 2002 PATENT & TRADEMARK OFFICE			Docket No.: SNY-R4976 Sheet 1 of 1 Serial No. : 10/084,106 Filing Date: 2/27/2002 Group: 2611 Applicant: Unger		
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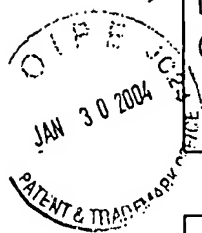
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	Applicant: UNGER	

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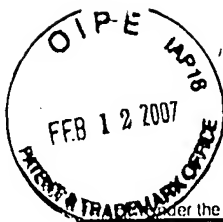
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/084,106
Filing Date	2/27/2002
First Named Inventor	Unger
Art Unit	2623
Examiner Name	Shang, Annan O.
Attorney Docket Number	SNY-R4976

Sheet	1	of	1
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Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)			

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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Examiner Signature	/Annan Shang/	Date Considered	04/20/2008
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LIST OF REFERENCES CITED BY APPLICANT(S)	Application No.: 10/084,106	Sheet 1 of 2
	Docket No.: SNY-R4976	Group: 2611
	Filed: 2/27/2002	Conf. No.: 6776
	Applicant: Unger	

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LIST OF REFERENCES CITED BY APPLICANT(S)	Application No.: 10/084,106	Sheet 2 of 2
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	Filed: 2/27/2002	Conf. No.: 6776
	Applicant: Unger	

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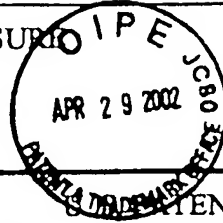
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	ANONYMOUS, Message Authentication with Partial Encryption, Research disclosure RD 296086, 12/10/1988

Examiner: /Annan Shang/	Date: 04/21/2008
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CITATION



Docket No.: SNY-R4976 Sheet 1 of 1
Serial No.: 10/084,106
Filing Date: 2/27/2002 Group: 2611
Applicant: Unger

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/A.S./	"Pre-Encryption Profiles - Concept Overview and Proposal", Rev. 1.2 as submitted to the Open CAS consortium on Dec. 28, 2000.

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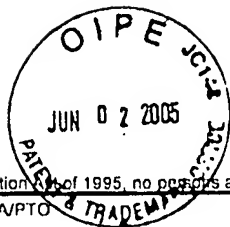
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/084,106
Sheet 1 of 2				Filing Date	2/27/2002
				First Named Inventor	Unger
				Art Unit	2611
				Examiner Name	Grant, Christopher
				Attorney Docket Number	SNY-R4976

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/A.S./ ↓		US-5,940,738	8/17/1999	Rao, R. Padmanabha	
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Examiner Signature	/Annan Shang/	Date Considered	04/20/2008
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10/084,105
				Filing Date	2/27/2002
				First Named Inventor	Unger
				Art Unit	2611
				Examiner Name	Grant, Christopher
Sheet	2	of	2	Attorney Docket Number	SNY-R4976

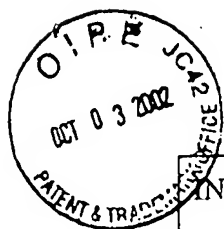
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Examiner Signature	/Annan Shang/	Date Considered	04/20/2008
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INFORMATION DISCLOSURE CITATION	Docket No.: SNY-R4976 Sheet 1 of 2 Serial No. : 10/084,106 Filing Date: 2/27/2002 Group: 2611 Applicant: Unger
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EXAMINER: /Annan Shang/	DATE CONSIDERED: 04/21/2008
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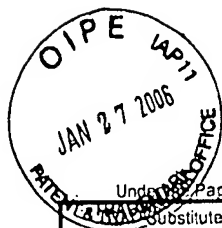


INFORMATION DISCLOSURE CITATION			Docket No.: SNY-R4976 Sheet 2 of 2 Serial No. : 10/084,106 Filing Date: 2/27/2002 Group: 2611 Applicant: Unger		
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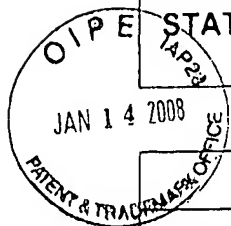
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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INFORMATION DISCLOSURE STATEMENT	Application No.: 10/084,106	Sheet 1 of 1
	Docket No.: SNY-R4976	Group: 2623
	Filed: 02/27/2002	Conf. No.: 6776
	Applicant: Unger	



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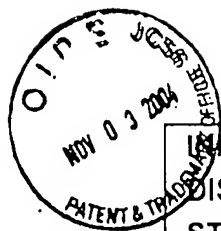
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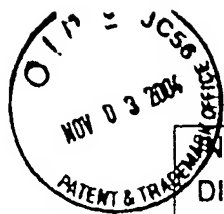
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	Applicant: Robert Unger	

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	4,700,387	10/13/1987	Hirata		7/5/1985
	4,703,351	10/27/1987	Kondo		8/22/1985
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	4,710,811	12/1/1987	Kondo		12/17/1985
	4,722,003	1/26/1988	Kondo		11/19/1986
	4,739,510	4/19/1988	Jeffers et al.		4/2/1987
	4,772,947	9/20/1988	Kondo		12/17/1986
	4,785,361	11/15/1988	Brotby		12/16/1986
	4,788,589	11/29/1988	Kondo		11/25/1986
	4,815,078	3/21/1989	Shimura		3/31/1987
	4,845,560	7/4/1989	Kondo et al.		5/18/1988
	4,887,296	12/12/1989	Horne		10/16/1987
	4,890,161	12/26/1989	Kondo		1/30/1989
	4,924,310	5/8/1990	von Brandt		8/22/1989
	4,944,006	7/24/1990	Citta et al.		4/25/1989
	4,953,023	8/28/1990	Kondo		9/15/1989
	4,995,080	2/19/1991	Bestler et al.		7/16/1990
	5,018,197	5/21/1991	Jones et al.		7/30/1990
✓	5,023,710	6/11/1991	Kondo et al.		10/24/1990

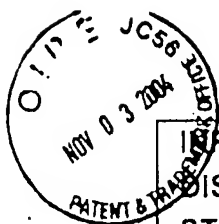
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/A.S./	5,122,873	6/16/1992	Golin, Stuart J.		2/19/1991
	5,138,659	8/11/1992	Kelkar et al.		5/2/1991
	5,142,537	8/25/1992	Kutner et al.		2/2/1990
	5,144,662	9/1/1992	Welmer		12/21/1990
	5,159,452	10/27/1992	Kinoshita et al.		10/22/1990
	5,196,931	3/23/1993	Kondo		12/23/1991
	5,208,816	5/4/1993	Seshardi et al.		3/11/1992
	5,237,424	8/17/1993	Nishino et al.		7/26/1991
	5,241,381	8/31/1993	Kondo		8/16/1991
	5,247,575	9/21/1993	Sprague et al.		4/24/1992
	5,258,835	11/2/1993	Kato		7/10/1991
	5,325,432	6/28/1994	Gardeck et al.		2/4/1993
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	5,359,694	10/25/1994	Concordel		7/27/1992
	5,379,072	1/3/1995	Kondo		12/8/1992
	5,398,078	3/14/1995	Masuda et al.		10/30/1992
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	5,438,369	8/1/1995	Citta et al.		8/17/1992
	5,469,216	11/21/1995	Takahashi et al.		11/30/1994
	5,471,501	11/28/1995	Parr et al.		8/13/1993
	5,473,692	12/5/1995	Davis		9/7/1994
	5,481,554	1/2/1996	Kondo		8/31/1993
✓	5,481,627	1/2/1996	Kim		8/31/1994

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/A.S./	5,485,577	1/16/1996	Eyer et al.		12/16/1994
	5,528,608	6/18/1996	Shimizume		4/7/1995
	5,535,276	7/9/1996	Ganesan		11/9/1994
	5,539,823	7/23/1996	Martin		7/24/1994
	5,539,828	7/23/1996	Davis		5/31/1994
	5,555,305	9/10/1996	Robinson et al.		6/13/1994
	5,561,713	10/1/1996	Suh		7/18/1994
	5,568,552	10/22/1996	Davis		10/22/1996
	5,574,787	11/12/1996	Ryan		7/25/1994
	5,582,470	12/10/1996	Yu		9/12/1995
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	5,608,448	3/4/1997	Smoral et al.		4/10/1995
	5,615,265	3/25/1997	Coutrot		12/20/1994
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	5,625,715	4/29/1997	Trew et al.		10/21/1993
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	5,703,889	12/30/1997	Shimoda et al.		12/14/1994
	5,717,814	2/10/1998	Abecassis		9/16/1994
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	5,742,680	4/21/1998	Wilson		11/13/1995
✓	5,742,681	4/21/1998	Giachettie et al.		4/4/1995

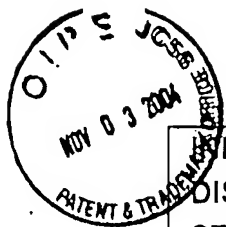
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1A.3.1	5,751,280	5/12/1998	Abbott et al.		12/11/1995
	5,751,743	5/12/1998	Takizawa		10/1/1992
	5,751,813	5/12/1998	Dorenbos		4/29/1996
	5,754,650	5/19/1998	Katznelson		5/3/1995
	5,757,417	5/26/1998	Aras et al.		9/23/1997
	5,757,909	5/26/1998	Park		11/22/1995
	5,768,539	6/16/1998	Metz et al.		12/17/1996
	5,796,786	8/18/1998	Lee		10/18/1996
	5,796,829	8/18/1998	Newby et al.		6/24/1996
	5,796,840	8/18/1998	Davis		10/4/1995
	5,802,176	9/1/1998	Audebert		3/22/1996
	5,805,700	9/8/1998	Nardone et al.		10/15/1996
	5,805,712	9/8/1998	Davis		12/29/1995
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	5,809,147	9/15/1998	De Lange et al.		8/14/1997
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	5,818,934	10/6/1998	Cuccia		12/18/1996
	5,825,879	10/20/1998	Davis		9/30/1996
	5,850,218	12/15/1998	LaJoie et al.		2/19/1997
	5,852,290	12/22/1998	Chaney		1/30/1997
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	5,894,320	4/13/1999	Vancelette		5/29/1996
	5,894,516	4/13/1999	Brandenburg		7/10/1996
	5,915,018	6/22/1999	Aucsmith		11/5/1996
	5,922,048	7/13/1999	Emura		12/11/1998
↓	5,933,500	8/3/1999	Blatter et al.		11/27/1996

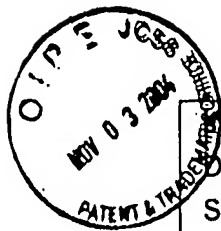
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/A.S./	5,949,877	9/7/1999	Traw et al.		1/30/1997
	5,949,881	9/7/1999	Davis		12/4/1995
	5,973,679	10/26/1999	Abbott et al.		3/31/1997
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	6,005,561	12/21/1999	Hawkins, et al.		12/14/1994
	6,011,849	1/4/2000	Orrin		8/28/1997
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	6,028,932	2/22/2000	Park		4/1/1998
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	6,057,872	5/2/2000	Candelore		7/9/1997
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	6,061,451	5/9/2000	Muratani et al.		9/2/1997
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	6,118,873	9/12/2000	Lotspiech et al.		4/24/1998
	6,134,551	10/17/2000	Aucsmith		1/8/1996
	6,154,206	11/28/2000	Ludtke		1/14/1999
✓	6,157,719	12/5/2000	Wasilewski et al.		7/31/1998

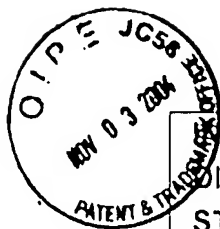
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7A.S.1	6,181,334	1/30/2001	Freeman et al.		7/3/1997
	6,185,369	2/6/2001	Ko et al.		9/16/1997
	6,185,546	2/6/2001	Davis		6/12/1998
	6,189,096	2/13/2001	Haverty		8/6/1998
	6,192,131	2/20/2001	Geer et al.		11/15/1996
	6,199,053	3/6/2001	Herbert et al.		4/8/1999
	6,204,843	3/20/2001	Freeman et al.		10/28/1999
	6,209,098	3/27/2001	Davis		9/21/1998
	6,215,484	4/10/2001	Freeman et al.		10/28/1999
	6,226,618	5/1/2001	Downs		8/13/1998
	6,229,895	5/8/2001	Son et al.		3/12/1999
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	6,256,747	7/3/2001	Inohara et al.		9/24/1998
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	6,292,892	9/18/2001	Davis		3/15/2000
	6,307,939	10/23/2001	Vigarie		2/19/1999
	6,311,012	10/30/2001	Cho et al.		6/20/1997
	6,351,538	2/26/2002	Uz		10/6/1998
✓	2002/0046406	4/18/2002	Chelehmal et al.		4/10/2001

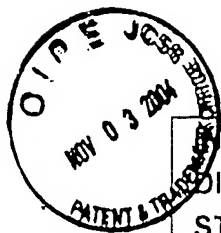
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7A.S.I	6,378,130	4/23/2002	Adams		10/20/1997
	6,389,537	5/14/2002	Davis et al.		4/23/1999
	2002/0059425	5/16/2002	Belfiore et al.		6/22/2001
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	6,415,031	7/2/2002	Colligan et al.		3/20/2000
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	6,430,361	8/6/2002	Lee		11/25/1997
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	6,459,427	10/01/2002	Mao et al.		4/1/1998
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	6,466,671	10/15/2002	Maillard et al.		9/21/1999
	2002/0170053	11/14/2002	Peterka et al.		4/26/2001
	2002/0194613	12/19/2002	Unger		2/27/2002
	2002/0196939	12/26/2002	Unger et al.		1/2/2002
	6,505,032	1/7/2003	McCorkle et al.		10/10/2000
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	2003/0021412	1/30/2003	Candelore et al.		1/2/2002
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	6,519,693	2/11/2003	Debey		7/21/1997
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	2003/0046686	3/6/2003	Candelore et al.		1/2/2002
	6,543,053	4/1/2003	Li et al.		11/20/1997
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	2003/0081630	5/1/2003	Mowery et al.		10/8/2002
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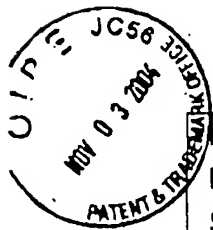


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	2003/0123849	7/3/2003	Nallur et al.		12/31/2001
	2003/0123664	7/3/2003	Pedlow et al.		10/18/2002
	2003/0133570	7/17/2003	Candelore et al.		10/18/2002
	2003/0145329	7/31/2003	Candelore		12/13/2002
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	2004/0003008	1/1/2004	Wasilewski et al.		6/25/2003
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	6,681,326	1/20/2004	Son et al.		5/7/2001
	2004/0047470	3/11/2004	Candelore		10/18/2002
	2004/0049688	3/11/2004	Candelore et al.		11/13/2002
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	2004/0049691	3/11/2004	Candelore et al.		3/19/2003
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	2004/0078575	4/22/2004	Morten et al.		1/29/2003
	2004/0165586	8/26/2004	Read et al.		2/24/2003
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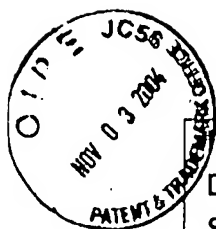


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Exam. Init.	Document Number	Date	Country	Class	Translation
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	EP0527611 ✓	7/8/1992	EP		N/R
	EP0558016 ✓	2/25/1993	EP		N/R
	EP0596826 ✓	4/11/1993	EP		N/R
	EP0610587 ✓	12/17/1993	EP		N/R
	JP7067028 ✓	3/10/1995	JP		Yes
	EP0680209 ✓	4/24/1995	EP		N/R
	WO 97/38530 ✓	10/16/1997	PCT		N/R
	EP0833517 ✓	4/1/1998	EP		N/R
	EP0866615 ✓	9/23/1998	EP		N/R
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* ↓	WO 01/78386	10/18/2001	PCT		N/R
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↓	JP11243534 ✓	10/8/2002	JP		Yes - See US 6,463,152

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	"An Efficient MPEG Video Encryption Algorithm" by Shi and Bhargava, pages 381-386, 1998 IEEE

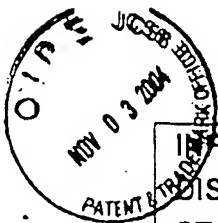
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/A.S./	"Applying Encryption to Video Communication" by Kunkelmann, pages 41-47, September, 1998, Multimedia and Security Workshop at ACM Multimedia '98. Bristol, U.K.
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	"DVD Demystified - The Guidebook for DVD-Video and DVD-ROM" by Jim Taylor, Pub. McGraw-Hill, 1998, ISBN: 0-07-064841-7, pages 134-147
	"Dynamic-Customized TV Advertising Creation and Production Tools" by SeaChange International, Web Site Literature
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	"Evaluation of Selective Encryption Techniques for Secure Transmission of MPEG-Compressed Bit-Streams" by Alattar and Al-Regib, pages IV-340 to IV-343, 1999, IEEE
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	Filed: 02/27/2002	Conf. No.: 6776
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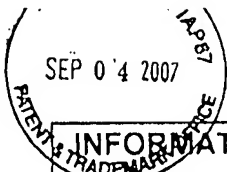
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	Filed: 02/27/2002	Conf. No.: 6776
	Applicant: Unger	

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	Applicant: Unger	

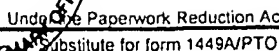
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Filing Date	2/27/2002
First Named Inventor	Unger
Art Unit	2611
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